

Appendix

No.	Name of Substantial Unit holder	Direct Interest		Deemed Interest		Manner in which its deemed interest arose
		Before Change	After Change	Before Change	After Change	
1	PineBridge Investments Singapore Limited	-	-	3.1269% (19,612,000 units)	2.5663% (19,612,000 units)	By virtue of section 4(1) of the Securities and Future Act, PineBridge Investments Singapore Limited as the fund manager of the following funds under its management, namely: <ul style="list-style-type: none"> <li>(i) AIG International Funds- Acorns of Asia Balanced Fund</li> <li>(ii) AIG International Funds- Singapore Bond Fund</li> <li>(iii) AIA Singapore Agents' Provident Fund</li> <li>(iv) AIA Regional Fixed Income Fund</li> <li>(v) AIA Growth Fund</li> </ul>
2	PineBridge Investments Asia Limited (formerly known as AIG Global Investment Corporation (Asia) Ltd)	-	-	3.1269% (19,612,000 units)	2.5663% (19,612,000 units)	By virtue of its deemed interests in the units in which PineBridge Investments Singapore Limited has an interest pursuant to Section 4(5) of the Securities and Futures Act.
3	American International Assurance Company, Limited, Singapore Branch	3.7416% (23,467,000 units)	3.0708% (23,467,000 units)	0.7958% (4,991,000 units)	0.6531% (4,991,000 units)	By virtue of its deemed interests in the units of American International Assurance Company Limited, Brunel Branch and AIA Singapore Agents' Provident Fund pursuant to Section 4(5) of the Securities and Futures Act.
4	American International Assurance Company, Limited, Brunel Branch	0.4217% (2,645,000 units)	0.3461% (2,645,000 units)	-	-	N.A.
5	American International Assurance Company, Limited	-	-	4.5373% (28,458,000 units)	3.7239% (28,458,000 units)	By virtue of its deemed interests in the units in which the following entities have an interest: <ul style="list-style-type: none"> <li>• American International Assurance Company, Limited, Singapore Branch</li> <li>• American International Assurance Company Limited, Brunel Branch</li> <li>• AIA Singapore Agents' Provident Fund</li> </ul> pursuant to Section 4 (5) of the Securities and Futures Act.
6	American International Reinsurance Company Ltd.	-	-	4.5373% (28,458,000 units)	3.7239% (28,458,000 units)	By virtue of its deemed interests in the units in which the following entities have an interest: <ul style="list-style-type: none"> <li>• American International Assurance Company, Limited, Singapore Branch</li> <li>• American International Assurance Company Limited, Brunel Branch</li> <li>• AIA Singapore Agents' Provident Fund</li> </ul> pursuant to Section 4 (5) of the Securities and Futures Act.

No.	Name of Substantial Unit holder	Direct Interest		Deemed Interest		Manner in which its deemed interest arose
		Before Change	After Change	Before Change	After Change	
7	AIQ Life Holdings (International) LLC	-	-	4,537,336 (28,458,000 units)	3,723,936 (28,458,000 units)	<p>By virtue of its deemed interests in the units in which the following entities have an interest:</p> <ul style="list-style-type: none"> <li>American International Assurance Company, Limited, Singapore Branch</li> <li>American International Assurance Company Limited, Brunei Branch</li> <li>ALA Singapore Agents' Provident Fund</li> </ul> <p>pursuant to Section 4 (3) of the Securities and Futures Act.</p>
8	American International Group, Inc.	-	-	6,138,636 (38,501,000 units)	5,038,136 (38,501,000 units)	<p>By virtue of its deemed interests in the units in which the following entities have an interest:</p> <ul style="list-style-type: none"> <li>American International Assurance Company, Limited, Singapore Branch</li> <li>American International Assurance Company Limited, Brunei Branch</li> <li>ALA Singapore Agents' Provident Fund</li> <li>PineBridge Investments Singapore Limited</li> </ul> <p>pursuant to Section 4 (3) of the Securities and Futures Act.</p>
9	Hill M Consulting, Chester B. Feldberg and Douglas L. Poshee (as joint trustees of AIQ Credit Facility Trust)	-	-	Approx 77.9% of 6,138,636 ie 4,782,096 (Approx 77.9% x 38,501,000 units, ie 29,992,279 units)	Approx 77.9% of 5,038,136 ie 3,924,796 (Approx 77.9% x 38,501,000 units, ie 29,992,279 units)	<p>By virtue of its deemed interests in the units of American International Group, Inc. pursuant to Section 4(3) of the Securities and Futures Act.</p> <p>Please note that the Series C Preferred Stock issued to the AIQ Credit Facility Trust for the sole benefit of the United States Treasury will exercise approximately 77.9% of the voting power of the stockholders of AIQ, Inc. Under the terms of the Series C Preferred Stock, the specific voting power of the shares automatically adjusts to account for the existence of any warrants that have been or may be issued to the U.S. Treasury Department. As a result, the combined equity interest at any one time of the United States Treasury resulting from any such warrants and the Series C Preferred Stock is 79.9%. Therefore, while the voting power of the Series C Preferred Stock may, at certain times, be slightly higher or lower than 77.9%, it will never exceed 79.9%.</p>

Notes(s)

- PineBridge Investments Singapore Limited is wholly owned by PineBridge Investments Asia Limited.
- PineBridge Investments Asia Limited and AIQ Life Holdings (International) LLC are wholly owned by American International Group, Inc.
- American International Assurance Company, Limited, Singapore Branch, American International Assurance Company, Limited, Brunei Branch and are wholly owned by American International Assurance Company, Limited.